



XONTRO Newsletter

Financial Institutes No. 109

&

Broker Firms No. 83

XONTRO / Financial Institutes
XONTRO / Broker Firms

Newsletter No. 109
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This XONTRO newsletter provides information covering the following issues:

Information for the banks

- SAKI FIX Session Encryptions - Reminder

Information for banks and brokers

- Technical user support maintained by DBAG staff
- Financial institutes and intermediaries:
 - End of year procedures
- System authorisation (password changes):
 - System access beyond year-end

Disclaimer:

The newsletter distributed hereby should not be considered as an advertisement, but rather as some supplemental information for the product documentation of a system operated by or technically accessible via the company named „BrainTrade Gesellschaft für Börsensysteme mbH“, physically residing at Börsenplatz 4, 60313 Frankfurt Main, Germany.

Therefore, this newsletter is solely being distributed via e-mail onto the registered BrainTrade system users, resp. their personnel employees, as well as their functional service providers.

The newsletter reception may be revoked at any time by e-mail directed at “trade(at)xontro.de”, or by telephone via the extension +49-(0)69-589978-110.

1 SAKI FIX Session Encryptions - Reminder

The productive transition to XONTRO FIX session encryption has begun.

The technical framework can be found in the following circular on our homepage:
https://xontro.de/sites/data/media/documents/Rundschreiben/202308_Floor_KI_FIX-Verschluesselung_e.pdf

The conversion of the individual FIX connections will be done successively; they will become mandatory for all FIX participants.

2 Technical user support maintained by GDB staff

Within the recent history, an increased technical support demand has been realised by our provider Deutsche Börse AG (GDB) on our participants' side. There are numerous technical support activities being performed; they are referred to, such as, IMS generation jobs, MQ series support activities, certificate updates, as well as support benefits within the framework of system as well as computing center migration activities.

2.1 Regular support target dates (free of charge)

Therefore, GDB, under the assignment of BrainTrade, will offer some technical support windows being free of charge for a defined series of Saturdays.

For the year 2024, the following support dates will be offered free of charge: January 20, February 17, March 23, April 20, May 25, June 22, July 20, August 24, September 21, October 19, November 23, and December 14.

Participants being in need of this support are kindly requested to subscribe, in a written form, for these support dates no later than two weeks ahead of the requested date, using the BrainTrade **trade(at)xontro.de** e-mail address.

2.2 Individual support dates (against payment)

Asides from the support dates being free of charge, there will be an option to arrange for individual support dates on a Saturday, too.

As the XONTRO and INVESTRO system environments will be subject to planned maintenance activities for a defined series of weekends, and therefore will not be ready to be used during these dates, participants wishing to use these individual support dates are kindly requested to apply for these service dates by up to four weeks in advance, using the BrainTrade e-mail address **trade(at)xontro.de**. The commitment of a technical GDB support staff worker will be charged with 1.200,-- EUR. Please kindly contact the XONTRO help line staff for further help.

3 Year end procedures 2023/2024

3.1 Overview

Sunday, 24 December 2023, and Sunday, 31 December 2023, are holidays.

On Friday, 29 December 2023 – which will be regarded as the 2023 year end closing exchange trading day – prices will only be fixed until 02.00 p.m., and the end-of-day batch run will start earlier than usual at 06.30 p.m.

For the remainder, the attached table should be inspected.

3.2 Empty files

After the end-of-day batch run has been started, the hourly and 15-minutes contract notes data carrier files will also be continued to be distributed in due time; these will of course then be empty.

The „A“ flag – meaning „last transmission for the current exchange trading day“ will be set in tag 77E of the header set of the contract notes data carrier file having been created from within the end-of-day batch run (“Scoop job”). Thereafter, only empty files may then be distributed still.

4 System access beyond 2023 (password changes)

Please keep in mind to ensure that the system access of your employees will still be valid beyond 2023.

Schematic diagram turn of the year 2023/2024

	22.12. Thu	23.12. Fri	23.12. Sat	24.12. Sun	25.12. Mon	26.12. Tue	27.12. Wed	28.12. Thu	29.12. Fri	30.12. Sat	31.12. Sun	01.01. Mon	02.01. Tue	03.01. Wed	04.01. Thu
Exchange day / Settlement day	Ex	Ex					Ex	Ex	Ex				Ex	Ex	Ex
Price fixing done?	Yes	Yes					Yes	Yes	Yes**)				Yes	Yes	Yes
EOD batch run	10:15 pm	10:15 pm					10:15 pm	10:15 pm	06:30 pm*)				10:15 pm	10:15 pm	10:15 pm
Deadline periods															
1)	TD	Can					SD								
2)		TD					Can	SD							
3)							TD	Can	SD						
4)								TD	Can				SD		
5)									TD				Can	SD	
6)													TD	Can	SD
7)														TD	Can

TD Trade contraction date
 Can Cancellation
 SD Settlement date, "Fixed value date"

*) likewise, entry deadline for trade entries
 **) until 02:00 pm

Schematic diagram turn of the year 2023/2024 – Orders valid from the following day

