



XONTRO Newsletter

Financial Institutes No. 95

&

Broker Firms No. 70

(English version)

This XONTRO Newsletter contains information covering the following issues:

- „Trade was contracted on a non-Xontro-exchange” flag
- Introduction of the new “NCSC-T” custody type

Disclaimer:

The Newsletter is not distributed for advertising purposes. The Newsletter distributed herein should be regarded as an information supplementary to the product documentation of a system either operated by or technically accessible via the “BrainTrade Gesellschaft für Börsensysteme mbH, Börsenplatz 4, 60313 Frankfurt Main, Germany” company.

These Newsletters are therefore only distributed via e-mail among the BrainTrade system users resp. their service providers.

The newsletter subscription may be revoked at any time via e-mail to be sent to “trade(at)xontro.de” or by telephone using the direct number +49-(0)69-589978-110.

1. „Trade was contracted on a non-Xontro-exchange” flag

Upon request of several of our trading participants, the options to flag trades to be entered into the XONTRO system that had not been contracted on one of the XONTRO exchanges, but rather on another trading platform, will be extended.

For this purpose, the entry options already available for the existing field “exchange trading place of registration” (“Börsenplatz des Meldewesens”) will be extended. The list of the extended entry options may be found on the following page.

Participants who wish to have the list of available trading places, as shown below, to be extended further still, are kindly requested to hand in an informal written request at BrainTrade.

The entry of this flag may be done by broker firms / intermediaries only.

- Within the 3270 dialog, the entry should be done by typing the keyword „BM...” immediately followed by the corresponding trading platform acronym as specified in the table below, using the additional specifications (“Zusatzangaben”) line.
- Within the System Connection for Brokers („SAM“), the entry should be done by allocating the field „repExchangeID“ using the appropriate 3-digit numeric value.
- The output within the documentation records for intermediaries as well as for banks will, as a first step, be done for information purposes only; the flag will have no effects whatsoever upon the subsequent message processing.

This modification will be launched in production (IMS1) as of 19th of August 2019. Simulation system (IMS27) launch is scheduled for the 8th of July 2019.

Identification	3270 Dialog (acronym "BM...") *	SAM resp. SWIFT **	FIX Output (MIC) ***
Unterschleißheim - Baader Bank	AW5	001	BAAD
London - Bloomberg Trading Facility	CG8	002	BMTF
Amsterdam - Bloomberg Trading Facility	DI1	003	BTFE
Stuttgart – cats	DB2	004	CATS
Berlin - Börse Berlin Equiduct Trading	EDR	005	XEQT
München - Börse München – gettex	GDM	006	XMUN
Düsseldorf - Lang & Schwarz - Wertpapierhandel	AV5	007	LSSI
Hamburg - Hanseatische Wertpapierbörse Hamburg - Lang & Schwarz Exchange	GDH	008	HAML
London - MarketAxess Europe	BJ2	009	MAEL
Düsseldorf - Börse Düsseldorf - Quotrix	EDO	124	XQTX
Berlin - Tradegate Exchange	EDG	011	TGAT
Berlin - Tradegate Wertpapierhandelsbank	GDA	012	TGSI
London - Tradeweb Europe	BK6	013	TREU
London – Turquoise	EUU	014	TROX
Amsterdam - Turquoise Europe	DG6	015	TOEX
Stuttgart - EUWAX AG	CS5	016	EUWA
Stuttgart - Baden-Württembergische Wertpapierbörse	EDS	017	XSTU
Stuttgart - Baden-Württembergische Wertpapierbörse - Technical Platform 2	GDS	018	XSTF

The notations in the column headers above are to be read as follows:

- * This format is used together with the 3270 Dialog functions: Broker entry (Eingabe Makler BxEM GE, BxBA AG), Broker output (Ausgabe Makler BxEM MT), as well as the online contract notes output for banks (BxAG AL).
- ** This format is used together with the System Connection for Brokers („SAM“) entry and output, field „repExchangeID“, as well as with the SWIFT MT 512 (SNO-DTA, Label 30) for banks.
- *** This format is used together with the FIX Trade Capture Report for banks ([Dealer NoteLocationID](#), Tag [2836732](#)).

2. Introduction of the new “NCSC-T” custody type

As of June 24th 2019, the new custody type “NCSC-T” will become available in production. This new custody type has the specific feature that, from a functional viewpoint, it resembles an “AKV” (“NCSC”) custody type, but may still be settled at Clearstream using the “T2S” functionality.

The assignment of this custody type towards the ISINs resp. trades will be guided via the WM resp. WSS instrument database. It must not be entered within the trading systems, such as XONTRO.

Within XONTRO, the display of this new custody type will be done as follows:

- Contract notes using the S.W.I.F.T. MT 512 in Label 35B, 3rd line: value „060“
- FIX Trade Capture Report (Dealer Note, Tag 6732): value „060“
- 3270 Dialog contract notes display (BxAG AL): „AKV“ only, as for all other „AKV“ / „NCSC“ custody types so far.