

MiFID-Master-Data for Banks I

Please send back to BrainTrade Gesellschaft für Börsensysteme mbH
Fax to: +49 (0)69/211 - 608052 | Phone: +49 (0)69/58 99 78 - 110



Setup Amendment Deletion

Company name

Address

LEI of Institution

Country ID (ISO3166)

Nomination of responsible traders concerning MiFID

CBF Account Number*	User-ID	Name of responsible trader
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
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<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

* All CBF Account Numbers listed above have been assigned the same LEI.

City, Date, Signature

Detailed information on privacy protection can be found at www.xontro.de.

MiFID-Master-Data for Banks II (FIX only)

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<input type="checkbox"/> Setup	<input type="checkbox"/> Amendment	<input type="checkbox"/> Deletion
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Company name

Address

LEI of Institution

Country ID (ISO3166)

The following external subsidiary-branches as listed below have been assigned to the FIX main branch as stated above:

CBF Account Number of	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
External subsidiary-branch(es)*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

*A SAKI-FIX subsidiary-branch is labelled an external subsidiary-branch if they do not coincide with the legal person of the connection owner.

City, Date, Signature

MiFID-Master-Data for Banks III

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<input type="checkbox"/> Setup	<input type="checkbox"/> Amendment	<input type="checkbox"/> Deletion	CBF Number (FIX main branch along with order routing)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Company name

Address

Other CBF Account-	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Numbers (FIX subsidiary	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
branch(es), optionally)*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

* The indication of a main-branch - subsidiary-branch relationship defines the CBF Account Numbers permitted to be included into the Shortcode-File Transfer process (forward and return path).

File Transfer Shortcode-File

Shortcode-File Berlin, Munich, Dusseldorf, Hamburg, Hanover J_11xxxx launch date desired

Destination address (connect:direct only)

Transmission parameters

Operational contact person	<input type="text"/>	Technical contact person	<input type="text"/>
Phone number	<input type="text"/>	Phone number	<input type="text"/>
Fax number	<input type="text"/>	Fax Number	<input type="text"/>
Email address	<input type="text"/>	Email address	<input type="text"/>

City, Date, Signature